## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew Desin

Application Serial No.: 10/615,696

Filing Date: July 9,

July 9, 2003

Attorney Docket No.: 03-40054-US

Group Art Unit:

Not Yet Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

A MATERIAL CONDITIONER AND STABILIZER AND METHOD FOR MAKING AND USING SAME

## PRELIMINARY AMENDMENT

It is believed that this Preliminary Amendment is being filed prior to the issuance of the first Office Action. Therefore, kindly amend the application as follows:

36. (new) A compound for enhancing the physical properties of a mining material having pliantcy, durability, and imperviousness, said compound comprising:

from about 55 to about 75% by weight a resin;

from about 20 to about 30% by weight a polymer; and

from about 1 to about 15% by weight an emulsifier;

wherein said compound is mixed with an asphalt emulsion; and

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wherein said resin and said polymer change at least one of said pliantcy, durability, and imperviousness of the material.

37. (new) A compound for enhancing the physical properties of a material used as a patch for paved roads having pliantcy, durability, and imperviousness, said compound comprising:

from about 55 to about 75% by weight a resin;

from about 20 to about 30% by weight a polymer; and

from about 1 to about 15% by weight an emulsifier;

wherein said compound is mixed with an asphalt emulsion; and

wherein said resin and said polymer change at least one of said pliantcy, durability, and imperviousness of the material.

It is believed that no fee is due in connection with this Preliminary Amendment.

However, the Commissioner is hereby authorized to charge any fees or credits due in connection with this filing to Deposit Account No. 18-0586.

Respectfully submitted

Edward F. Behm Jr. Registration No.: 52,606

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03-29-04

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March 26, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EV342400625US)

Re:

US Patent Appl. Serial No. 10/615,696

Filed: July 9, 2003

For: Material Conditioner and Stabilizer and Method

or Making and Using Same

Attorney Docket No. 03-40054-US (883833.20001)

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

- 1. Preliminary Amendment;
- 2. Fee Transmittal Form
- 3. Check in the amount of \$86.00 for the filing fee for two (2) additional independent claims; and
- 4. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Commissioner is hereby authorized to charge any fees due in connection with this filing to Deposit Account No. 18-0586.

Respectfully submitted,

Edward F. Behm, Jr.

Registration No. 52,606

REED SMITH LLP

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Attorney for Applicant

**EXPRESS MAIL CERTIFICATE** 

Express Mail Label No. EV 342400625 US

Date of Deposit March 26, 2004

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Name: Elaine Weisbecker

Signature Elaine Washister

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to re

## **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF FAIMLING	TOTAL	<b>AMOUNT</b>	OF PA	AYMENT
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Name (Print/Type)

Signature

Edward F. Benm, Jr.

(\$) 86.00

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Complete if Known					
Application Number	10/615,696				
Filing Date	7/9/03				
First Named Inventor	A. Desin				
Examiner Name	Not Yet Assigned				
Art Unit	Not Yet Assigned				
Attorney Docket No.	03-40054-US				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES						
Deposit Account:	Large Entity Small Entity						
Deposit 40.0500		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account Number 18-0586	1051	130	2051		Surcharge - late filing fee or oath		
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name	1053	130	1053	130	Non-English specification		
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee		1,840*	1805	1,840*	Requesting publication of SIR after		
to the above-identified deposit account.	1251	110	2251	55	Examiner action		
FEE CALCULATION	1252	420	2252	210	Extension for reply within first month  Extension for reply within second month		
1. BASIC FILING FEE	1252	950	2252				
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1254		2253		Extension for reply within third month	<b>─</b> ─┤.	
Code (\$) Code (\$)				740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255	,	2255	1,005	Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)		110	2452	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1,330	2453	665	Petition to revive - unintentional		
Fee from	1501	•	2501	665	Utility issue fee (or reissue)		
Extra Claims below Fee Paid  Total Claims 20** = X	1502	480	2502	240	Design issue fee		
Independent	1503	640	2503		Plant issue fee		
Claims 2 - 3** = X = 86.  Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner		
		50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809		Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be		
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802		Request for expedited examination		
and over original patent		ee (sp	ecifu)		of a design application		
SUBTOTAL (2) (\$)				Filina Fe	ee Paid SURTOTAL (3) (\$)	ऱऱऱं	
**or number previously paid, if greater; For Reissues, see above				9	ee Paid SUBTOTAL (3) (\$)		

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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